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By [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL D. DOYLE

Application No.: 09/481,984

Filed: January 11, 2000

For: METHOD AND SYSTEM FOR  
HYPERMEDIA BROWSER API SIMULATION  
TO ENABLE USE OF BROWSER PLUG-INS  
AND APPLETS AS EMBEDDED WIDGETS IN  
SCRIPT-LANGUAGE-BASED INTERACTIVE  
PROGRAMS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed are copies of the filing receipt and verified statement of small entity status in Provisional Application No. 60/115,502, upon which the present application is based. In view of applicant's continued small entity status, it is respectfully requested that one half the costs thus far associated with this application be refunded to the undersigned's Deposit Account No. 20-1430, and that applicant be afforded small entity in this application.

Respectfully submitted,

[Signature]  
Charles E. Krueger  
Reg. No. 30,077

TOWNSEND and TOWNSEND and CREW-LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-0200 / Fax (415) 576-0300  
CEK:db SF 1120083 v1

DEP & REF Room

PATENT

Attorney Docket No. 019850-000200US

3/2/2000 MMARHOL 00000038 201430 09481984  
C:105 130.00 CR 110.00 CR  
5:115

307  
#7

10/16/00

Adjustment date: 10/16/2000  
01 FC:105 01 FC:101 02 FC:102  
01/27/2000 GDUCKETT 00000004 201430 09481984  
REQUEST FOR REFUND

RECEIVED  
OCT-4 2000  
TC 2700 MAIL ROOM

Adjustment date: 10/16/2000 BTURNER  
06/09/2000 MMARHOL 00000038 201430 09481984  
01 FC:105 130.00 CR

OFFICE OF THE  
REFUND

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**RAM** Fee History  
Query  
Revenue Accounting and Management

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Name/Number: 09481984

Total Records Found: 4

Start Date: Any Date

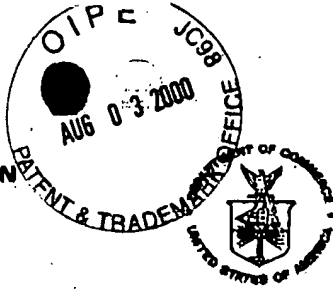
End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
01/27/2000	00000007	<u>1</u>	<u>101</u>	\$690.00	01/11/2000 345	DA 201430
01/27/2000	00000008	<u>1</u>	<u>102</u>	\$156.00	01/11/2000 78	DA 201430
06/09/2000	00000085	<u>1</u>	<u>105</u>	\$130.00	06/07/2000	DA 201430
06/09/2000	00000086	<u>1</u>	<u>115</u>	\$110.00	06/07/2000 55	DA 201430

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PROVISIONAL APPLICATION  
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS
60/115,502	01/11/99	\$75.00		0

MICHAEL D. DOYLE  
824 DAWES AVENUE  
WHEATON IL 60187

Receipt is acknowledged of this Provisional Application. This Provisional Application will not be examined for patentability. Be sure to provide the PROVISIONAL APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to Box Provisional Application within 10 days of receipt. Please provide a copy of the Provisional Application Filing Receipt with the changes noted thereon. This Provisional Application will automatically be abandoned twelve (12) months after its filing date and will not be subject to revival to restore it to pending status beyond a date which is after twelve (12) months from its filing date.

Applicant(s) MICHAEL D. DOYLE, WHEATON, IL.

FOREIGN FILING LICENSE GRANTED 02/05/99

\* SMALL ENTITY \*

TITLE

METHOD AND SYSTEM FOR HYPERMEDIA BROWSER API SIMULATION TO ENABLE USE  
OF BROWSER PLUG-INS AND APPLETS AS EMBEDDED WIDGETS IN  
SCRIPT-LANGUAGE-BASED INTERACTIVE PROGRAMS

DATA ENTRY BY: NHU THUY TRAN

TEAM: 05 DATE: 02/05/99



In the United States Patent and Trademark Office

First/Sole Applicant: Michael D. Doyle

Joint/Second Applicant:

Title: Method and system for hypermedia browser API simulation to enable use of browser plug-ins and applets as embedded widgets in script-language-based interactive programs

Small Entity Declaration—Independent Inventor(s)

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed—and am under no obligation under any contract or law to assign, grant, convey, or license—any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed—or am under an obligation under contract or law to assign, grant, convey, or license—any rights in the invention is listed below:

☒ There is no such person, concern, or organization.

☐ Any applicable person, concern, or organization is listed below:

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Michael D. Doyle  
Signature of Sole/First Inventor

Michael D. Doyle  
Print Name of Sole/First Inventor

January 11, 1999  
Date of Signature

\_\_\_\_\_  
Signature of Joint/Second Inventor

\_\_\_\_\_  
Print Name of Joint/Second Inventor

\_\_\_\_\_  
Date of Signature

\*Note: A separate Small Entity Statement is required from any listed entity.